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DOCKET NO. MS301563.01/MSFTP576US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant:

Renato Barrera, et al.

Serial No.:

10/803,443

Filed:

March 18, 2004

For:

QUERY OPTIMIZER USING IMPLIED PREDICATES

Art Unit: Examiner:

2171

Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to
the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is
enclosed except for: (a) pending applications (b) those previously cited or submitted to the Office in the following
application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120(c), or (c) U.S. Patent(s)
and published application(s):

Serial No.:	
Filing Date:	

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
 - (a) ___ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
 - (b) ___ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
 - 3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
 - (a) ___ Within 3 months of the filing date or date of entry into the National Stage.
 - (b) X Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.

(c)	After the period set forth in 37 C.F.R. 1 notice of allowance.	.97(b) bu	before the mailing date of either a final action or a
(1)	_ The required certification is given be	elow, <u>or</u>	
(2)	Enclosed is a check covering the fine Statement.	ee set for	th in 37 C.F.R. 1.17(p) for consideration of this
(d)			notice of allowance, but before payment of the issue of this Statement and the required certification is
(1)	Enclosed is a Credit Card Payment F 37 C.F.R. 1.17(i)(1).	Form (PT)	O-2038) covering the fee set forth in
4. Certificat	ion (if applicable)		
(a)		nt office i	f information contained in this Statement was cited n a counterpart foreign application not more than 3
(b)	communication from a foreign pater	nt office reasonable	in a counterpart foreign application or, to the inquiry, was known to any individual designated in this Statement.
		Respect	fully Submitted,
		AMIN &	t Turocy, llp
AMIN & TURO 24 TH Floor, Nati 1900 E. 9 th Stre Cleveland, Ohio	ional City Center et	Ву	Himanshu S. Amin Reg. No. 40,894
I hereb	CERTIFICAT y certify that this correspondence (along will be used to be used	th any pap States Pos	ILING er referenced as being attached or enclosed) is tal Service with sufficient postage as first class O. Box 1450. Alexandria, VA 22313-1450.
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Himanshu S. Amin

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PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO			Complete if Known		
			Application Number	10/803,443	
11	NFORMATION DISCLO	SURE	Filing Date	March 18, 2004	
STATEMENT BY APPLICANT	CANT	First Named Inventor	Renato Barrera		
OTATEMENT BY ATTEMANT			Art Unit	2171	
	(Use as many sheets as necessary)	· .	Examiner Name	Unknown	
L4	1	2	Attorney Docket Number	MC201562 01/MCETD57611C	

Examiner	Cite	Document Number	U. S. PATENT Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No. ¹	Number-Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US- 6,338,056 B1	01-08-2002	Stefan Dessloch, et al.	
	·	US- 6,219,662 B1	04-17-2001	Gene Y.C. Fuh, et al.	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		
		EP 1 193 619 A	03-04-2002	Microsoft Corporation				
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Examiner	Date	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO Complete if Known Application Number SUPPLEMENTAL 10/803,443 Filing Date INFORMATION DISCLOSURE March 18, 2004 First Named Inventor STATEMENT BY APPLICANT Renato Barrera Art Unit 2171 (Use as many sheets as necessary) Examiner Name Unknown Sheet Attorney Docket Number MS301563.01/MSFTP576US 1

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
		P. SAN-BENTO FURTADO. European Search Report. July 20, 2005. Munich, Germany. 3 pages.			
		S. CHAUDHURI, et al. Institute of Electrical and Electronics Engineers: Efficient evaluation of queries with mining predicates. Proceedings of 18th International Conference on Data Engineering (ICDE 2002). San Jose, CA, February 26-March 1, 2002. International Conference on Data Engineering. (ICDE), Los Alamitos, CA. IEEE pp 529-540.			
		S. CHAUDHURI - Association for Computing Machinery: An Overview of Query Optimization in Relational Systems. Proceedings of the 17th ACM Sigact-Sigmod-Sigart Symposium on Principles of Database Systems. PODS 1998. Seattle, WA, June 1-3, 1998			
		J.M. HELLERSTEIN, et al. Predicate Migration: Optimizing Queries with Expensive Predicates. Sigmod Record, Association fo rComputing Machinery, NY. vol. 22, no. 2, June 1, 1993. pp 267-276.			
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Examiner		Date	
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.